Inflammation in Atherosclerosis
From Pathophysiology to Practice

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STATE-OF-THE-ART PAPER

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Innate and Adaptive Immunity: Twin Arms of the
Immune Response Involved in Atherosclerosis

Through evolution, the inflammatory response has grown in complexity and has provided host defenses against infection

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and injury. Moreover, inflammatory mechanisms also participate in the repair of injured tissues. The primitive arm of inflammation, known as innate immunity, echoes in mammals pathways extant in early eukaryotes (4). Primitive phagocytic cells, evolutionary precursors of the mammalian monocyte/macrophage (Fig. 1), exist in marine invertebrates as recognized by Metchnikoff in the 19th century (5). The innate immune response mounts rapidly and combats perceived foreign invaders, often with preformed mediators. “Natural antibodies,” certain complement proteins, and families of cell surface receptors recognize microbial products that can elicit an immediate response without requiring “education” of the immune system. The receptors involved in these primordial host defense responses include several families of macrophage scavenger receptors, also implicated in uptake of modified lipoproteins, and a family of Toll-like receptors (TLR) (Fig. 2). The TLRs, named after *Drosophila* genes, belong to the family of pattern-recognition receptors that recognize microbial structures and products. These receptors trigger a complex intracellular signaling cascade that stimulates the production of proinflammatory cytokines and other inflammatory mediators. The innate immune response, characterized as “fast and blunt,” recognizes a limited diversity of structures on the order of hundreds.

The adaptive immune response has arisen more recently in evolution (Fig. 3). This arm of host defenses, in contrast to the innate immune response, requires “education” of the immune system. Common clinical experience illustrates the

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### Abbreviations and Acronyms

- **CRP** = C-reactive protein
- **GWAS** = genome-wide association screen
- **hsCRP** = high-sensitivity C-reactive protein
- **LDL** = low-density lipoprotein
- **LDL-C** = low-density lipoprotein cholesterol
- **NNT** = number needed to treat
- **TLR** = Toll-like receptor
- **Treg** = regulatory T cell

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### Figure 1 Elements Involved in Innate Immunity

This figure summarizes some of the functions ascribed to various cellular participants in atherosclerosis that may participate in the disease and its complication when dysregulated. Mononuclear phagocytes represent the bulwark of the innate immune defenses in mammals. Monocytes give rise to macrophages, which in the arterial intima form foam cells, the hallmark of the arterial fatty streak. Recent work has focused on heterogeneity of mononuclear phagocytes. We now recognize a proinflammatory subset distinct from a less inflammatory population of monocytes. The inflammatory subset expresses high levels of the cell-surface marker Ly6C (also known as GR-1) in the mouse. These inflammatory monocytes express higher levels of Toll-like receptors (TLR), and the other functions indicated, including elaboration of high levels of the cytokines tumor necrosis factor (TNF) and interleukin (IL)-1. The less inflammatory subset of monocytes express higher levels of transforming growth factor (TGF)-beta, the scavenger receptors CD36 and scavenger receptor A (SRA), and angiogenic mediators including vascular endothelial growth factor (VEGF). Dendritic cells express human leukocyte antigen (HLA) molecules among the other indicated structures. Dendritic cells present antigens to T cells, linking innate to adaptive immunity. Mast cells elaborate many mediators as shown. Recent data support a causal role for mast cells in mouse atherosclerosis. Platelets also participate in adaptive immunity. When activated, platelets exteriorize CD40 ligand (CD40L or CD154) and release mediators including RANTES (regulated and T-cell expressed secreted), myeloid related protein (MRP)-8/14, platelet-derived growth factor (PDGF), and TGF-beta.
lag time in developing an adaptive immune response. For example, an antibody response or a cellular immune response requires weeks to months following vaccination with an antigen. Also in contrast with the innate immune response, the adaptive arm displays exquisite specificity. Instead of recognizing mere hundreds of molecular patterns, the repertoire of antibodies and T cell receptors can recognize many millions of specific structures.

The inflammatory response in atherosclerosis involves elements of both the innate and adaptive limbs of immunity.

**Innate immunity in atherosclerosis.** Considerable evidence supports the early involvement of the monocyte/macrophage, the most prominent cellular component of the innate immune response, during atherogenesis. Observations in human arterial specimens and many experimental models of atherosclerosis have identified monocyte recruitment as an early event in atherogenesis. The recruitment of mononuclear phagocytes involves attachment to activated endothelial cells by leukocyte adhesion molecules. Several protein mediators, specialized cytokines known as chemokines, direct cell migration of monocytes into the intima. Maturation of monocytes into macrophages, their multiplication, and production of many mediators ensues. Previous reviews recount the details of these now well-understood molecular mechanisms that we will not repeat here (6,7).

Since last reviewed, several new findings regarding monocyte recruitment to atherosclerosis have come to light. First, examination of the kinetics of monocyte recruitment to mouse atherosclerotic lesions suggests that monocyte entry occurs not just during the initial stages of lesion formation, but continues even in established lesions (8). This observation has implications for targeting monocyte recruitment for atherosclerosis treatment.

Another recent recognition revolves around monocyte heterogeneity in atherosclerosis (9). Evidence from mouse experiments and in humans suggests a disease-relevant dimorphism of monocytes (10–12). Hyperlipidemia elicits a profound enrichment of a proinflammatory subset of monocytes in the mouse. These proinflammatory monocytes, recognized by high levels of a marker known as Ly6C, or Gr-1, may correspond to a human monocyte subset marked by the presence of P-selectin glycoprotein ligand (PSGL) (13). These proinflammatory monocytes home to atherosclerotic lesions, where they propagate the innate immune response by expressing high levels of proinflammatory cytokines and other macrophage mediators, including matrix metalloproteinases (Fig. 1, left).

Recent evidence has also highlighted the potential participation of mast cells in atherosclerosis. Long identified as a minority leukocyte population in the arterial adventitia and atherosclerotic intima, mast cells exhibit numerous functions implicated in atherogenesis (14,15). For example, mast cells release vasoactive small molecules such as histamine and leukotrienes, certain serine proteinases, and heparin, a cofactor in growth factor action and angiogenesis. Recent pharmacologic and genetic studies have provided firm evidence for mast cell participation in atherogenesis in mice (16,17). As established pharmacologic agents can modulate mast cell functions in humans, these recent observations also have therapeutic implications. The exten-
sion of these mouse experiments to humans requires further research.

Many links exist between lipoproteins and innate immunity. Modified lipoproteins interact with scavenger receptors (Fig. 2), and may thus send proinflammatory signals. Oxidized phospholipids derived from modified lipoproteins may also drive inflammation. A lipoprotein-associated phospholipase A2 (Lp-PLA2) currently targeted in clinical trials, may generate pro-inflammatory derivatives of oxidatively modified lipoproteins (18). Recent data show that apolipoprotein CIII, a constituent of certain triglyceride-rich lipoproteins associated with poor clinical outcomes, incites inflammation by binding to TLR2 (Fig. 2)(19).

Another area of recent advance in relation to innate immunity in atherosclerosis regards the links between thrombosis and inflammation. Previously considered independent pathways in host defense, current evidence supports considerable crosstalk (20). For example, prostaglandins produced through the cyclooxygenase pathway control inflammation as well as thrombosis. Therefore, anti-inflammatory cyclooxygenase-2 inhibitors may heighten thrombotic risk. A major protein mediator of coagulation, thrombin, can elicit the expression of proinflammatory cytokines from vascular endothelial and smooth muscle cells. Platelets, when activated, can secrete preformed proinflammatory cytokines and exteriorize and shed a multipotent proinflammatory stimulus, CD40-ligand (CD154). Platelets can also release a proinflammatory mediator known as myeloid-related protein (MRP)-8/14 (21). This heterodimeric molecule serves as a biomarker for adverse cardiovascular events in both apparently well populations, and survivors of acute coronary syndromes (22). Current investigations are expanding our knowledge of the inflammatory actions of MRP-8/14. For example MRP-8/14 can bind TLR4, activating innate immunity through this pattern-recognition receptor (23)(Fig. 2). This ligand can also promote endothelial cell apoptosis, a process implicated in plaque thrombosis (24). These recent observations tighten the link between inflammation and thrombosis, suggesting an intimate interlacing of these 2 convergent pathways in atherosclerosis.

Adaptive immunity in atherosclerosis. Accumulating evidence supports a key regulatory role for adaptive immunity in atherosclerosis and its complications. The subject of
several recent reviews, this section will highlight recent advances in this area (3,25,26). Interacting with a special subset of mononuclear phagocytes specialized in antigen presentation known as dendritic cells (Fig. 1), T lymphocytes encounter antigens and mount a cellular immune response (Fig. 3). The dendritic cells populate atherosclerotic plaques and regional draining lymph nodes where they can present antigens to T cells with costimulatory molecules that incite this key afferent limb of adaptive immunity. Putative antigens that stimulate T cells in the context of atherosclerosis include certain heat shock proteins, components of plasma lipoproteins, and potentially, microbial structures as well. The clone of T cells that recognizes antigen in this context will proliferate to amplify the immune response. Upon renewed exposure to the specific antigen, these T cells produce cytokines and trigger inflammation, and some T cells have mechanisms specialized for killing cells (Fig. 3). This amplification accounts for the delay in the typical adaptive immune response that is slower and much more structurally specific than the “fast and blunt” innate immune response described above.

Various functionally distinct classes of T cells exist. Helper T cells spearhead antigen recognition, and fall into 2 major functional subtypes known as Th1 and Th2 (Fig. 3, left). Th1 responses generally amplify proinflammatory pathways by secretion of cytokines such as interferon-gamma. The Th1 response appears to aggravate atherosclerosis. A more recently recognized T cell subset, Th17 cells, may also exert particularly proinflammatory actions. Th2 cells elaborate cytokines that may modulate inflammation such as interleukin-4 that can promote humoral immunity (see the following text), whereas the role of Th2 in atherosclerosis is controversial (27–30). Some, but not all, evidence suggests that Th2-slanted responses may drive aneurysm formation (31–33). Humans may have less accentuated polarization of Th1 versus Th2 cells than inbred mice.

Another T cell subtype, known as regulatory T cells, or Treg for short, appears to play an intriguing modulatory role in atherosclerosis. Treg can dampen inflammatory responses. Genetic manipulations that interfere with Treg functions, mediated by transforming growth factor (TGF)-beta, augment atherogenesis in mice, yield lesions with signs of heightened inflammation, and even trigger thrombosis (34,35). Thus, Treg cells and Th2 versus Th1 and Th17 cells can counterbalance the proatherogenic effects of Th1 cells illustrating the yin and yang complexity of cellular immunity.

The types of T cells just described express the surface marker CD4 and recognize antigen presented by dendritic cells and macrophages. One-third of all T cells in human lesions are of a different type that carries the CD8 marker and recognizes antigens bound to HLA molecules on many different cell types, typically viral antigens on infected cells (Fig. 3). When activated, CD8 T cells kill neighbor cells via cell–cell contact. Several mediators produced in lesions can recruit CD8 T cells capable of killing smooth muscle cells and macrophages, processes linked to lesion growth and complication (36).

CD4 and CD8 T cells share the capacity to recognize protein antigens bound to HLA molecules on cell surfaces. The NKT cell, in contrast, reacts toward lipid antigens presented by CD1 molecules on antigen-presenting cells. Once activated, the NKT cell produces proinflammatory cytokines that promote atherosclerosis (37).

**Humoral immunity in atherosclerosis.** B lymphocytes secrete antibodies that like T cells, can recognize many millions if not billions of diverse structures. Convergent lines of experimental evidence suggest that humoral immunity can attenuate rather than promote atherogenesis. For example, splenectomy, ablating an important B cell compartment, aggravates atherosclerosis (38). Hypercholesterolemic mice develop a strong humoral response directed against epitopes characteristic of oxidatively modified low-density lipoprotein (LDL) (39,40). Immunization of rabbits or mice with oxidized LDL attenuates atherosclerosis. Interestingly, the antibodies elicited in mice in response to oxidized LDL also recognize a pneumococcal antigen (41). This finding underscores the view that host defenses against infectious agents can overlap with inflammatory pathways involved in atherogenesis. The observation that humoral immunity against oxidized LDL might protect against atherosclerosis has inspired therapeutic explorations of vaccination against oxidized LDL to mitigate this disease.

**Clinical Translation of Inflammation Biology: The Role of Biomarkers**

Following on the ferment in the basic science laboratory regarding inflammation in atherosclerosis, we have now entered an era of translation of inflammation biology to the clinic. The description of inflammatory pathways above identified several new potential therapeutic avenues. Many existing systemic anti-inflammatory strategies such as glucocorticoids, nonsteroidal anti-inflammatory drugs, or anti-cytokine agents exert unwanted actions that render them less than ideal candidates for evaluation as long-term therapeutics for modulation of atherosclerosis. Of many promising more specific anti-inflammatory agents in development for atherosclerosis, none appear sufficiently validated for clinical use at present. In contrast, the use of inflammatory biomarkers to predict risk, monitor treatments, and guide therapy has shown substantial potential for clinical applicability.

**Biomarkers of inflammation in risk prediction.** The contemporary literature now contains numerous reports of the relationship between various biomarkers of inflammation and prospective cardiovascular risk, in apparently well individuals as well as in patients with coronary heart disease or heart failure. The clinical utility of a biomarker for risk prediction depends on practicality, ease, cost, and reproducibility of the measurement, and the ability to add to the predictability of existing biomarkers such as those incorpo-
rated in the Framingham algorithm. Many reviews have highlighted this fast-moving field (42). Among the many biomarkers of inflammation proposed for diagnostic use, myeloperoxidase, Lp-PLA2, pentraxin-3, cytokines such as IL-6, proteases such as matrix metalloproteinase-9, and C-reactive protein (CRP) measured by a highly sensitive assay (hsCRP) have generated considerable attention. For a variety of reasons, CRP has emerged as a leading biomarker of inflammation for clinical application. In well individuals without acute infections or inflammatory diseases (e.g., rheumatoid arthritis), levels of hsCRP remain stable over long periods of time with a year-to-year and decade-to-decade variability comparable to that of cholesterol (43,44).

CRP has considerable chemical stability, requires no special precautions for sampling, and has a relatively long half-life of inflammation for clinical application. In well individuals with no established cardiovascular disease into 4 cells defined by above- and below-median LDL-C, and above- and below-median hsCRP showed that both groups with high LDL-C benefited from therapy as indicated by a number needed to treat (NNT) below 60. Individuals with LDL-C and hsCRP below median did not benefit from therapy, yielding a NNT of approximately 1,000 to prevent 1 cardiovascular event. The provocative cell in this analysis, the 25% of the individuals in this cohort with below-median LDL-C, but above-median hsCRP, indicated clinical benefit indistinguishable from the 2 high LDL groups. This observation suggested that well individuals with average levels of LDL-C, currently below treatment thresholds, might nonetheless benefit from statin therapy if they had concomitant elevations of hsCRP.

The recently reported JUPITER (Justification for the Use of Statins in Prevention: an Intervention Trial Evaluating Rosuvastatin) trial tested this hypothesis prospectively (53). The JUPITER trial enrolled 17,802 individuals without manifest cardiovascular disease, with LDL-C levels below 130 mg/dl, but with hsCRP levels ≥2 mg/l; all study participants were randomly allocated to rosuvastatin 20 mg daily or to placebo and were then followed for incident vascular events. On the advice of its independent data monitoring board, the JUPITER trial was stopped early due to a 44% reduction in the trial primary end point of all vascular events (p < 0.00001), a 54% reduction in myocardial infarction (p = 0.0002), a 48% reduction in stroke (p = 0.002), a 46% reduction in the need for arterial revascularization (p < 0.0001), and a 20% reduction in all-cause mortality (Fig. 4). All pre-specified subgroups within the trial significantly benefitted from rosuvastatin, including those groups traditionally considered to be at low risk such as those with Framingham Risk Scores <10%, those without metabolic syndrome, women, and those with elevated levels of hsCRP but no other major Adult Treatment Panel-III risk factor (Fig. 5).

The treated group in JUPITER enjoyed substantive reductions in both absolute and relative risk. Despite excluding all individuals with hyperlipidemia (LDL >130 mg/dl, the actual median LDL at entry was 108 mg/dl), the placebo event rate in JUPITER exceeded that of AFCAPS/TexCAPS, indicating that those with a heightened inflammatory burden disclosed by elevated hsCRP have high
vascular risk even when cholesterol levels lie within a range considered acceptable by current guidelines. With regard to cost-effectiveness, the commonly accepted metric of NNT at 5 years is 25 in JUPITER for the primary study end point and 32 for the “hard end point” of myocardial infarction, stroke, or death (54). These NNT values compare favorably to the 5-yr NNT values of 50 that have been reported in prior primary prevention trials of statin therapy in the setting of overt hyperlipidemia. They also compare very favorably to the treatment of hypertension (5-yr NNT: 80 to 160) or to aspirin prophylaxis (5-yr NNT: 250 to 300).

Pre-specified analyses within the JUPITER database affirm that maximum treatment benefit occurs with reduction of both LDL-C and hsCRP (Fig. 6) (55). This finding has clinical relevance since, in JUPITER, the median on-treatment LDL-C was only 55 mg/dl (and 25% of the trial participants had LDL-C <45 mg/dl), yet optimum benefits accrue not only when LDL-C levels reached these very low targets, but also when hsCRP levels fell greatly.

The Future of Inflammation in Atherosclerosis

Targeting inflammation in atherosclerosis: beyond statins. As described above, a growing body of evidence supports the use of statins as an anti-inflammatory intervention in atherosclerosis due to both LDL-lowering and direct anti-

Figure 4 Cumulative Incidence of Cardiovascular Events in the JUPITER Trial, According to the JUPITER Study Group
inflammatory actions. Progress in understanding the basic biology of inflammation in atherosclerosis has identified potential novel strategies for modulating inflammation in atherosclerosis. No large-scale clinical trial has yet established that an anti-inflammatory intervention that does not alter lipid levels can improve cardiovascular outcomes. Although certain established systemic anti-inflammatory therapies such as corticosteroids or nonsteroidal anti-inflammatory agents do not appear promising as antiatherosclerotic interventions, other agents warrant consideration in this regard. Clinical trials currently underway are exploring the potential of inhibiting lipoprotein-associated phospholipase A2 as an anti-inflammatory therapy, although the first hypothesis-testing trial for this agent failed to meet either of its pre-specified primary end points (56). Various protein therapeutic strategies such as anti-integrin or anticytokine therapies have received consideration for therapeutic application. Therapeutic vaccination with lipoprotein peptides is also being considered for clinical evaluation (57). All of these potential direct anti-inflammatory modalities will require extensive clinical evaluation and direct testing in randomized trials before adoption and practice. Imaging of inflammation in atherosclerosis. Traditional cardiovascular imaging has focused on anatomy. Magnetic resonance and nuclear imaging techniques can approach aspects of cardiac function such as perfusion and viability. The identification of molecular mediators of inflammation that operate during atherogenesis has generated considerable interest in harnessing them as targets for imaging. Examples of tempting targets in this regard include adhesion molecules such as vascular cell adhesion molecule (VCAM)-1, monocyte/macrophage functions such as phagocytosis tracked with microparticulate markers, glucose uptake as monitored by fluorodeoxyglucose, microvessels identified by integrin-directed agents, modified LDL accumulating in lesions, and proteinases implicated in vascular remodeling and plaque destabilization (58–61). A growing experimental literature has demonstrated the feasibility of many of these targeted imaging strategies. Few, if any, of these modalities appear near ready for clinical application, however. Even those currently available, such as 18F-fluorodeoxyglucose imaging, will require considerable clinical validation before adoption in clinical practice (62,63).

Genetics of inflammation in atherosclerosis. Progress in genetics and genomics, and enormous technical strides in...
genotyping have heightened interest in defining genetic biomarkers of cardiovascular risk that may open new perspectives in personalized medicine in the future. The computational analysis of various biomarkers alluded to previously identified family history of cardiovascular disease in a parent at age ≤60 years along with hsCRP added to the traditional Framingham variables in predicting cardiovascular risk (46,47). This observation suggests the importance of genetic factors as contributors to cardiovascular risk prediction not completely captured by the Framingham algorithm.

An initial wave of enthusiasm stimulated multiple studies of individual single nucleotide polymorphisms (SNPs) or, in a more sophisticated approach, haplotypes (64). The advent of genome-wide association screens (GWAS) has proven quite fruitful (65,66). The concordant identification of a region on Chromosome 9 as associated with cardiovascular disease in several large, independent genetic studies has reinforced future potential of genetics in identifying risk predictors and potential therapeutic targets (65). Identification by GWAS of “sentinel” members of pathways known to participate in atherosclerosis enhances confidence in the validity of this approach, yet many questions remain unanswered (67). The functional genomic work required to unravel the biological pathways revealed by GWAS will require considerable investigative effort in years to come. The pursuit of genetic factors identified by GWAS should identify participants in inflammatory pathways that will broaden our understanding and mastery of inflammation in atherosclerosis.

Conclusions

Since our last reviews on these topics, evidence for the involvement of the immune and inflammatory responses in atherogenesis has only intensified. This review has focused on recent advances in this area. We stand on the threshold of an era when clinical inflammation of inflammation biology will prove clinically useful and transformative of clinical practice. This example of translational medicine indicates how clinical concepts of the pathogenesis of atherosclerosis have inspired laboratory research that revolutionized our concepts of the pathogenesis of atherosclerosis over the last 2 decades. The rapid clinical application of these advances in basic science to clinical cardiovascular medicine promises to provide important new tools for diagnosis, monitoring, and management of patients with or at risk for cardiovascular disease in the near future.

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